**Risk Ranking Questionnaire**

High – If the answer to *any* of the first 9 questions is “Yes”, then the Vendor Risk Rank should be High (H).

1. Does the relationship involve personal data directly traceable to an individual that is covered under federal, state or local privacy requirements?
2. Does the processing and/or data involved with the Vendor support Sompo’s critical business processes and if unavailable would disrupt operations?
3. If the application system were not available for more than 24 hours would significant financial losses occur?
4. Would the willful or inadvertent release, disclosure, or modification of data by the Vendor result in a competitor being given a significant business advantage?
5. Could an unauthorized modification of code or data by the Vendor result in a fraudulent manipulation of funds or resources?
6. Does the Vendor’s service provided have an impact financially to Sompo (cash disbursements, claims)?
7. Would an issue with the relationship with the Vendor have a material impact on Financial reporting at the Sompo International level or the Sompo Holdings level?
8. Could association with the Vendor negatively impact Sompo’s reputation?
9. Does the addition of the said Contract substantially increase the Business unit risk?

If the answer is “No” to *all of the above*, then the following questions need to be addressed:

Medium - If the answer to *any* of the 4 questions below is “Yes” then the Vendor Risk Rank should be Medium (M).

1. Does the application/system contain extremely complex functionality or numerous interfaces?
2. Would an issue with the application/system have an impact (not material) on financial reporting?
3. Does the data the Vendor handles contain customer name, address and/or policy number?
4. If data, system or service provided not available to Sompo for 72 hours, would significant financial losses occur?

Low - If the answer is “No” to all of the 13 questions above, then the Vendor Risk Ranking should be Low (L).